


## Entity authentication and symmetric key establishment

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## Outline

- 1. Cryptology: protocols
  - identification/entity authentication
  - key establishment
- 2. Public Key Infrastructures
- 3. Secure Networking protocols
  - Internet Security: email, web, IPSEC, SSL
- 4. How to use cryptography well
- 5. New developments in cryptography

## Definitions (ctd)

	<b>data</b>	<b>entities</b>
Confidentiality	confidentiality	anonymity
Integrity	encryption	identification
Availability	authentication	data authentication

Authorisation

Non-repudiation of origin, receipt

Contract signing

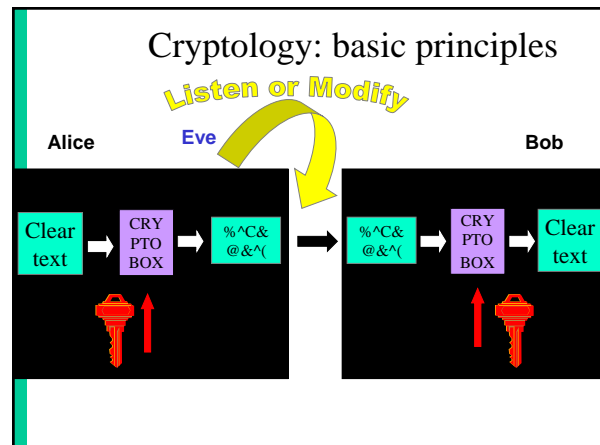
  

Notarisation and Timestamping

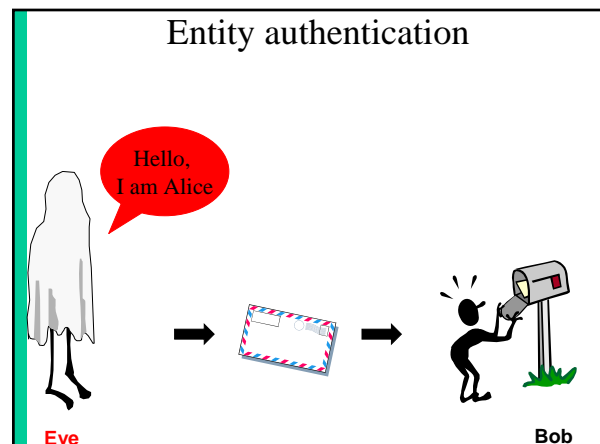
E-voting, e-auction,...

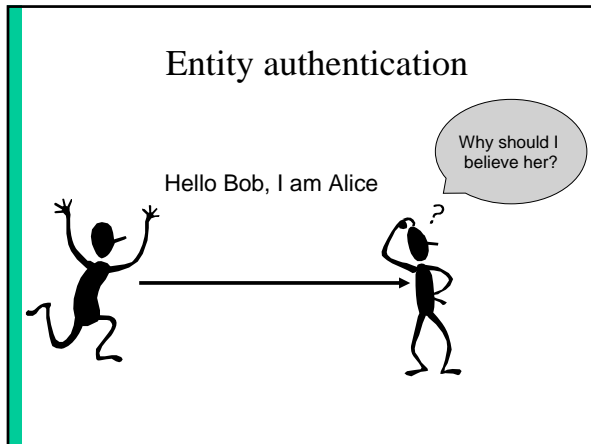
Don't use the word authentication without defining it



## Identification

- the problem
- passwords
- challenge response with symmetric key and MAC (symmetric tokens)
- challenge response with public key (signatures, ZK)
- biometry
- symmetric key establishment and Kerberos
- public key establishment





### Identification is based on one or more of the following elements:

- what someone **knows**
  - password, PIN
- what someone **has**
  - magstripe card, smart card
- what someone **is** (biometrics)
  - fingerprint, retina, hand shape,...
- **how** someone does something
  - manual signature, typing pattern
- **where** someone is
  - dialback

ert5^r\$#89Oy

### Identification with passwords

OK!

Hello Bob, I am Alice.  
My password P is  
Xur%9pLr

Alice | Xur%9pLr

BUT

- Eve can guess the password
- Eve can listen to the channel and learn Alice's password
- Bob needs to know Alice's secret
- Bob needs to store Alice's secret in a secure way

### Improved identification with passwords

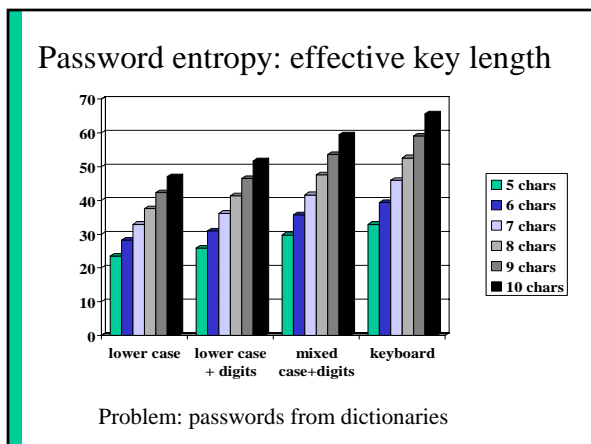
OK!

Hello Bob, I am Alice.  
My password P is  
Xur%9pLr

Alice | f(Xur%9pLr)

Bob stores f(P) rather than Alice's secret P

- it is difficult to deduce P from f(P)



### Improved+ identification with passwords

OK!

Hello Bob, I am Alice.  
My password P is  
Xur%9pLr

Alice | f(Xur%9pLr||987&\*)|| 987&\*

Bob stores f(P,S) || S rather than Alice's secret P

- it is harder to attack the passwords of all users simultaneously

give every user at registration a random publicly known value S (salt)

### Example: UNIX

- Function  $f() = \text{DES}$  applied 25 times to the all zero plaintext  $\text{DES}_K(\text{DES}_K(\dots\text{DES}_K(000\dots0)))$  with as key the password (8 7-bit characters)
- Salt: 12-bit modification to DES
- etc/passwd public
- PC: 1 million passwords/second
- But time-memory tradeoff...

### Problem: human memory is limited



- Solution: store key  $K$  on magstripe, USB key, hard disk
- Stops guessing attacks

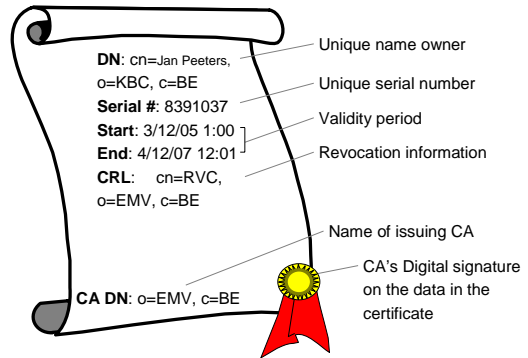
But this does not solve the other problems related to passwords

And now you identify the card, not the user...

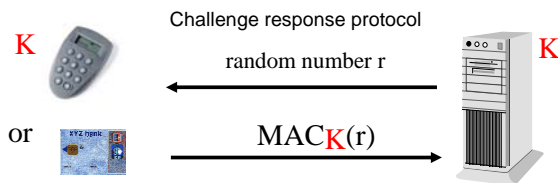
### Improvement: Static Data Authentication

- Replace  $K$  by a signature of a third party CA (Certification Authority) on Alice's name:  $\text{Sig}_{SK_{CA}}(\text{Alice}) = \text{special certificate}$
- Advantage: can be verified using a public string  $PK_{CA}$
- Advantage: can only be generated by CA
- Disadvantage: signature = 40..128 bytes
- Disadvantage: can still be copied/intercepted

### "Certificate" for static data authentication

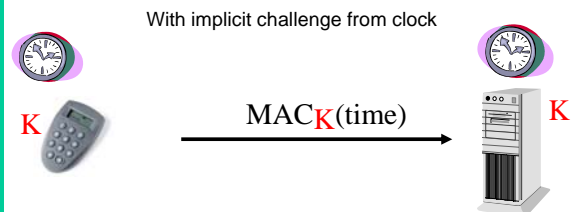


### Entity authentication with symmetric token

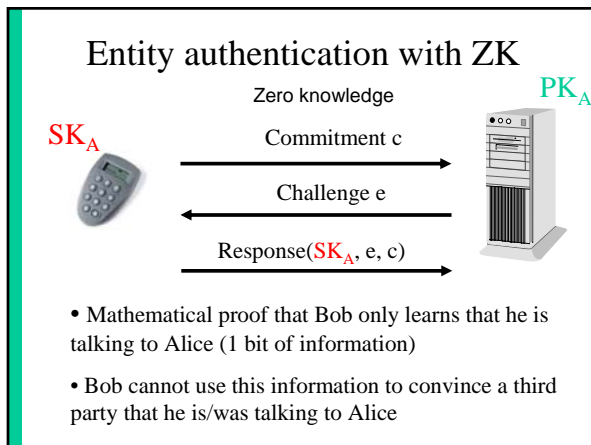
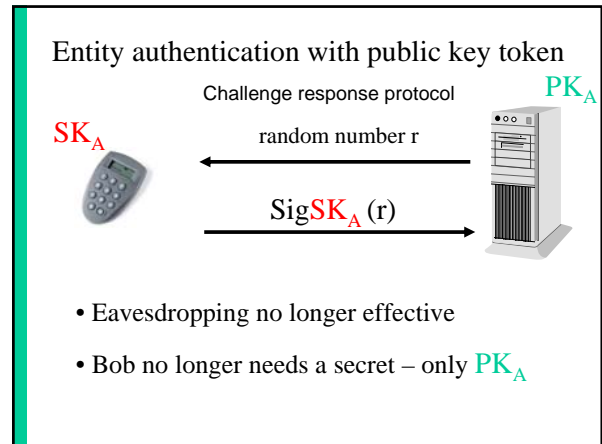
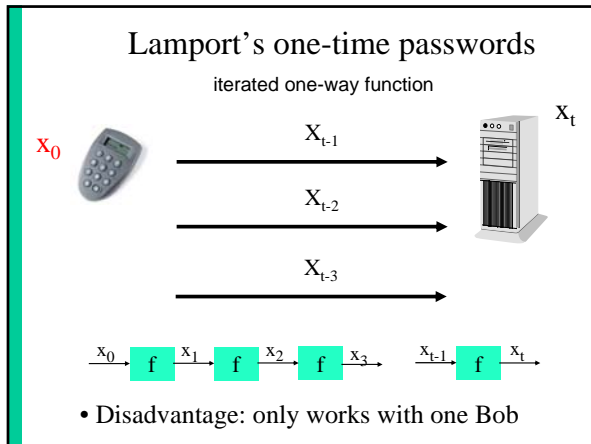


- Eavesdropping no longer effective
- Bob still needs secret key  $K$

### Entity authentication with symmetric token



- Eavesdropping no longer effective
- Bob still needs secret key  $K$
- resynchronization mechanism needed



### Mutual authentication

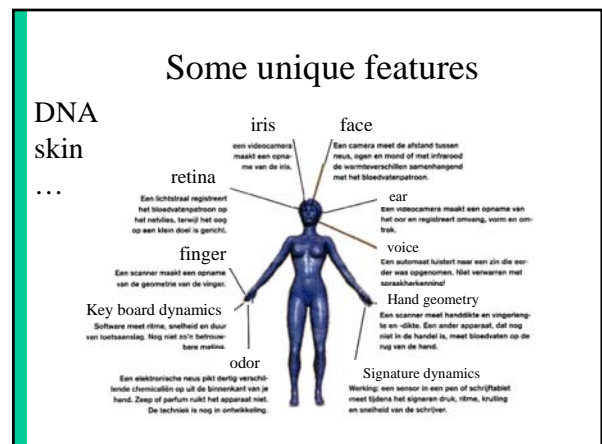
- Many applications need entity authentication in two directions
- !! This is not complete the same as 2 parallel unilateral protocols for entity authentication

### 2 stage authentication

- Local: user to device
- Device to rest of the world

### Biometry

- Based on our unique features
- Identification or verification
  - Is this Alice?
  - Check against watchlist
  - Has this person ever registered in the system?



### Biometric procedures

- Registration
- Template extraction
- Measurement
- Processing
- Template matching
- Link with applications

Figure 2. A generic biometric system.

The diagram illustrates a generic biometric system. It is divided into two main sections: Enrollment and Identification. In the Enrollment phase, a user's biometric data is captured by a Biometric Sensor, processed by a Feature Extractor, and stored in a Template Database. In the Identification phase, a new biometric sample is captured by another Biometric Sensor, processed by a Feature Extractor, and then compared against the Template Database by a Feature Matcher. The Feature Matcher also receives input from the Biometric Sensor.

### Robustness/performance

- Performance evaluation
  - False Acceptance Ratio or False Match Rate
  - False Rejection Ratio or False Non-Match Rate
- Application dependent

The graph plots Error Rates (Y-axis, 0.0 to 3.5) against Threshold (X-axis, 10 to 110). Two curves are shown: a blue curve labeled 'FAR' (False Acceptance Rate) and a green curve labeled 'FRR' (False Rejection Rate). The FAR curve starts at approximately 3.5 at threshold 10 and decreases as the threshold increases. The FRR curve starts at 0.0 at threshold 10 and increases as the threshold increases. The two curves intersect at a threshold of approximately 65, where the error rate is about 1.0.

### Robustness/performance (2)

The graph shows False Match Rate (Y-axis) versus False Nonmatch Rate (X-axis). Two curves are plotted: System A (upper curve) and System B (lower curve). System A is associated with 'Forensic Applications' and 'High Security Access Applications'. System B is associated with 'Civilian Applications'. A dashed line indicates the 'Equal Error Rate' point where the False Match Rate equals the False Nonmatch Rate.

### Fingerprint

- Used for PC/laptop access
- Widely available
- Reliable and inexpensive
- Simple interface

The image shows a hand using a fingerprint scanner. To the right, there are diagrams of fingerprint ridges labeled 'A' and 'B', with 'minutiae' (small features like bifurcations and endings) highlighted. Below the diagrams are three images of fingerprint patterns: a latent print, a developed print, and a scanned digital print.

### Fingerprint (2)

- Small sensor
- Small template (100 bytes)
- Commercially available
  - Optical/thermal/capacitive
  - Liveness detection
- Problems for some ethnic groups and some professions
- Connotation with crime

### Fingerprint (3): gummy fingers

Making an Artificial Finger directly from a Live Finger

How to make a mold

Put the plastic into hot water to soften it.

Press a live finger against it.

It takes around 10 minutes.

How to make a gummy finger

Pour the liquid into the mold.

Put it into a refrigerator to cool.

It takes around 10 minutes.

The gummy finger

## Hand geometry

- Flexible performance tuning
- Mostly 3D geometry
- Example: 1996 Olympics



## Voice recognition

- Speech processing technology well developed
- Can be used at a distance
- Can use microphone of our gsm
- But tools to spoof exist as well
- Typical applications: complement PIN for mobile or domotica

## Iris Scan

- No contact and fast
- Conventional CCD camera
- 200 parameters
- Template: 512 bytes
- All ethnic groups
- Reveals health status



## Retina scan

- Stable and unique pattern of blood vessels
- Invasive
- High security



## Manual signature

- Measure distance, speed, accelerations, pressure
- Familiar
- Easy to use
- Template needs continuous update
- Technology not fully mature



## Facial recognition

- User friendly
- No cooperation needed
- Reliability limited
- Robustness issues
  - Lighting conditions
  - Glasses/hair/beard/...



### Comparison

Feature	Uniqueness	Permanent	Performance	Acceptability	Spoofing
Facial	Low	Average	Low	High	Low
Fingerprint	High	High	High	Average	High
Hand geometry	Average	Average	Average	Average	Average
Iris	High	High	High	Low	High
Retina	High	Average	High	Low	High
Signature	Low	Low	Low	High	Low
Voice	Low	Low	Low	High	Low

### Biometry: pros and cons

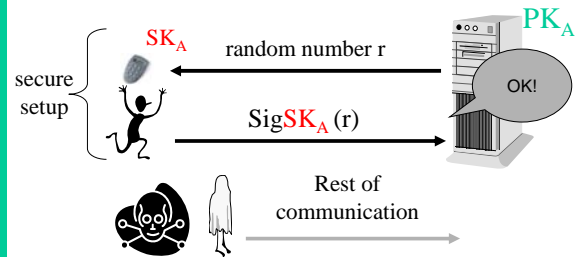
- Real person
- User friendly
- Cannot be forwarded
- Little effort for user
- Privacy (medical)
- Intrusive?
- Cannot be replaced
- Risk for physical attacks
- Hygiene
- Does not work everyone, e.g., people with disabilities
- Reliability
- Secure implementation: derive key in a secure way from the biometric
- No cryptographic key

### Location-based authentication

- Dial-back: can be defeated using fake dial tone
- IP addresses and MAC addresses can be spoofed
- Mobile/wireless communications: operator knows access point, but how to convince others?
- Trusted GPS?

### Limitations of entity authentication

- Establish who someone is
- Establish that this person is active
- But what about keeping authenticity alive?



### Solution

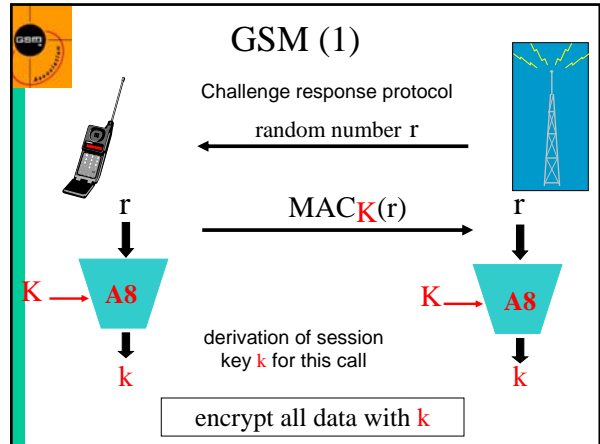
- Authenticated **key** agreement
- Run a mutual entity authentication protocol
- Establish a key
- Encrypt and authenticate all information exchanged using this key

### Key establishment

- The problem
- How to establish secret keys using secret keys?
- How to establish secret keys using public keys?
  - Diffie-Hellman and STS
- How to distribute public keys? (PKI)

### Key establishment: the problem

- Cryptology makes it easier to secure information, by replacing the security of information by the security of **keys**
- The main problem is how to establish these **keys**
  - 95% of the difficulty
  - integrate with application
  - if possible transparent to end users



### GSM (2)

- SIM card with long term secret key  $K$  (128 bits)
- secret algorithms
  - A3: MAC algorithm
  - A8: key derivation algorithm
  - A5.1/A5.2: encryption algorithm
- anonymity: IMSI (International Mobile Subscriber Identity) replaced by TIMSI (temporary IMSI)
  - the next TIMSI is sent (encrypted) during the call set-up

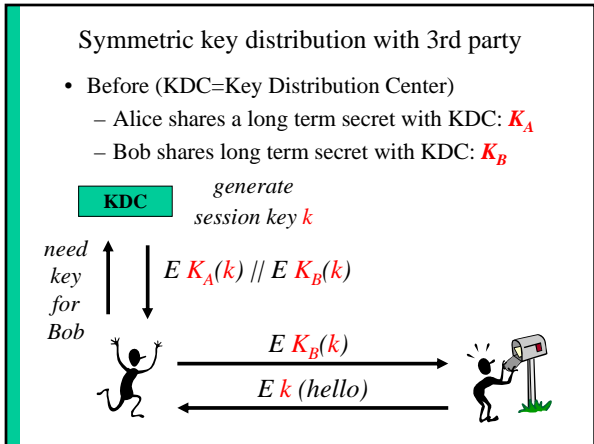
### Point-to point symmetric key distribution

- Before: Alice and Bob share long term secret  $K_{AB}$

generate session key  $k$   $\xrightarrow{EK_{AB}(k // time // Bob)}$  decrypt extract  $k$

$\xleftarrow{Ek ( time // Alice // hello)}$

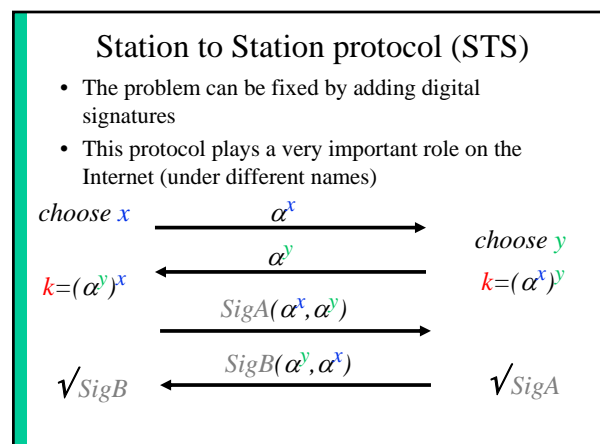
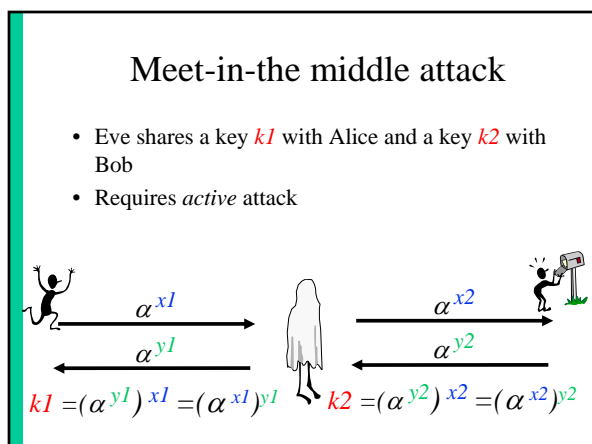
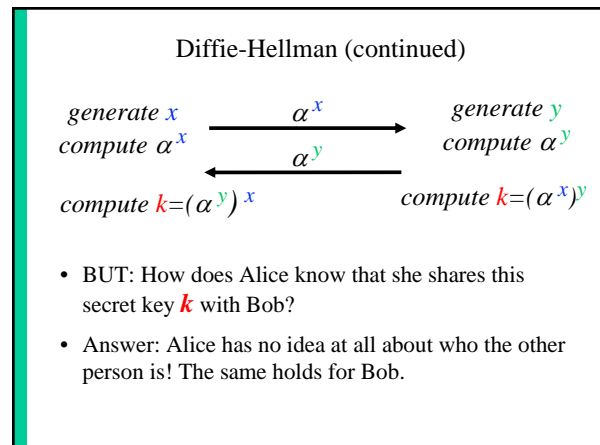
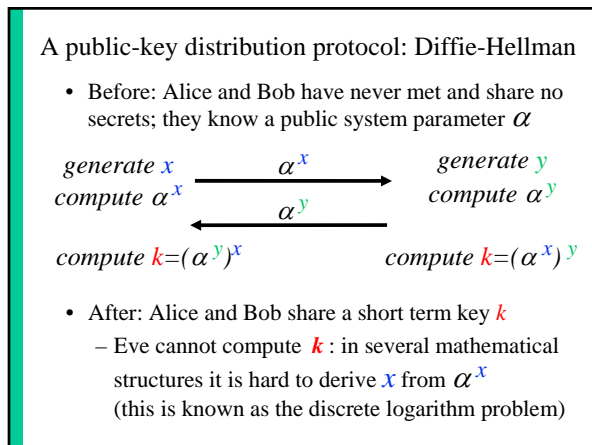
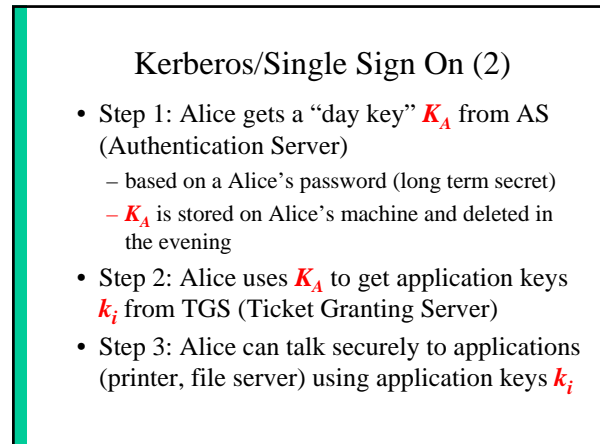
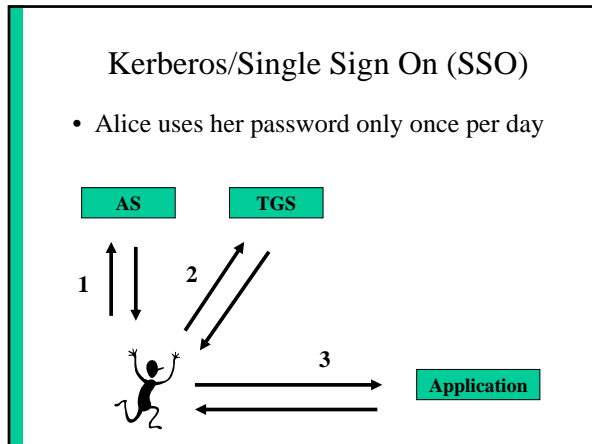
- After: Alice and Bob share a short term key  $k$ 
  - which they can use to protect a specific interaction
  - which can be thrown away at the end of the session
- Alice and Bob have also authenticated each other

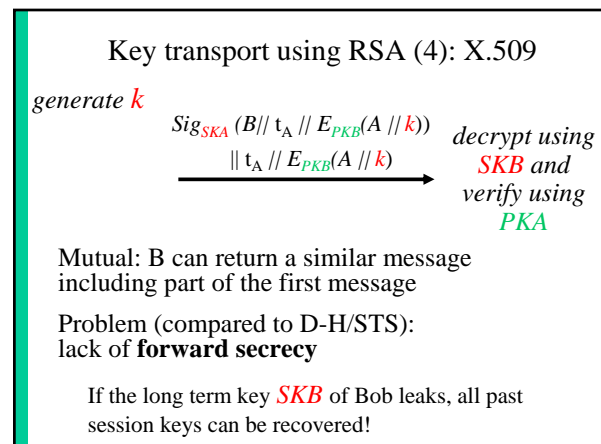
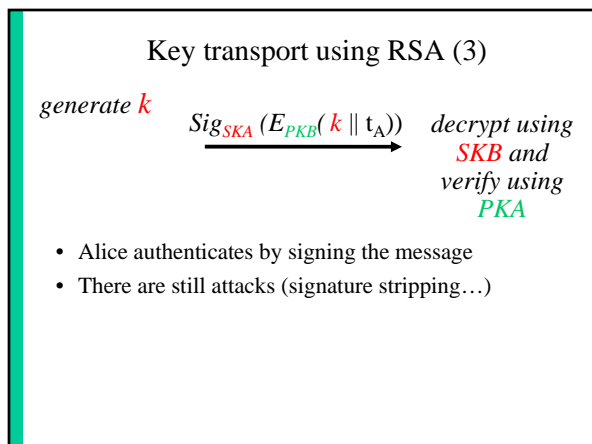
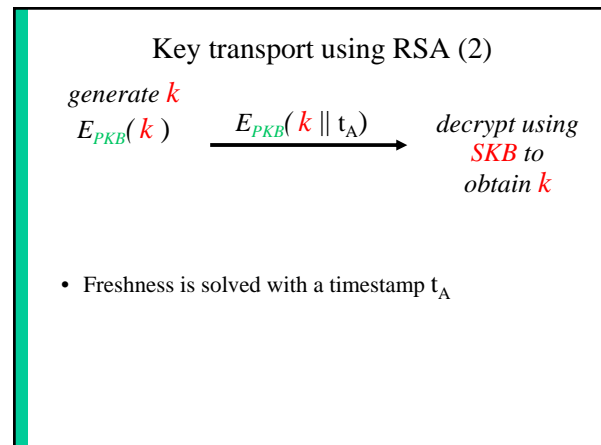
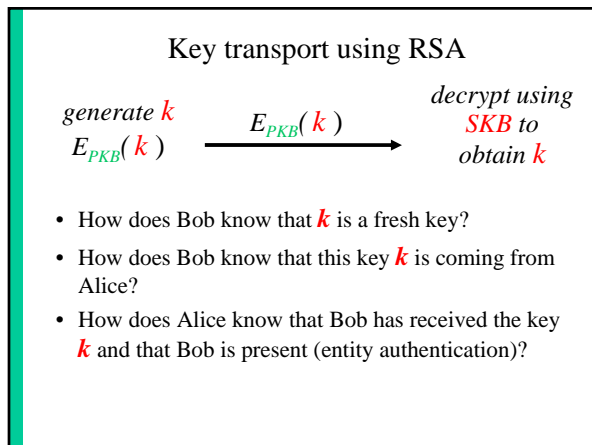
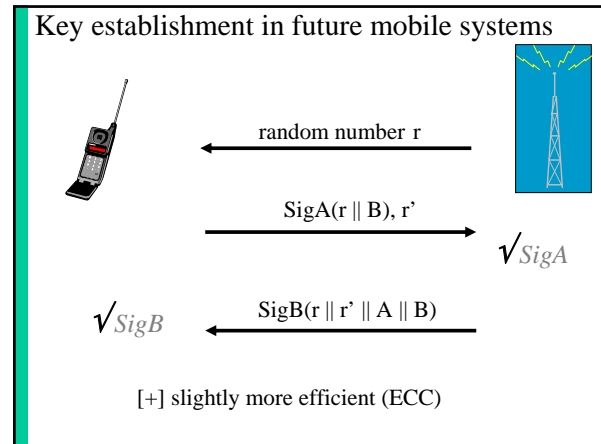
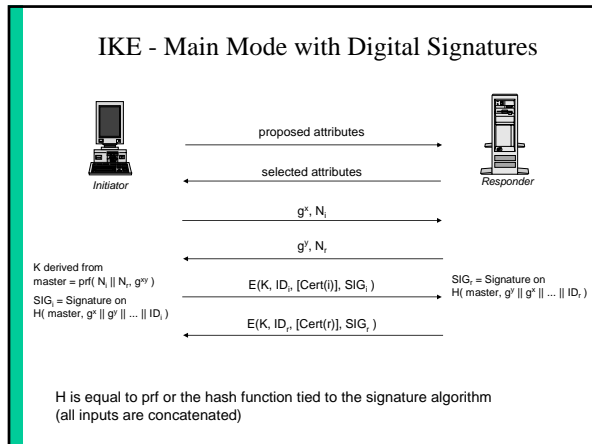


### Symmetric key distribution with 3rd party(2)

- After: Alice and Bob share a short term key  $k$
- Need to trust third party!
- Single point of failure in system







### Distribution of public keys

- How do you know whose public key you have?
- Where do you get public keys?
- How do you trust public keys?
- What should you do if your private key is compromised?

reduce protection of public key of many users to knowledge of a **single public key** of a Certification Authority (CA)

**digital certificates** &  
Public Key Infrastructure (PKI)

### Public Key Certificates

Unique name owner  
Unique serial number  
Validity period  
Revocation information  
Public key  
Name of issuing CA  
CA's Digital signature on the certificate

### Certificate Revocation List

Unique name of CRL  
Period of validity  
Serial numbers of revoked certificates  
Name of issuing CA  
CA's digital signature on the CRL

### Essential PKI Components

- Certification Authority
- Revocation system
- Certificate repository ("directory")
- Key backup and recovery system
- Support for non-repudiation
- Automatic key update
- Management of key histories
- Cross-certification
- PKI-ready application software

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### PKI-ready application software: old view of PKI (does not work in practice)

### Example of a key hierarchy